



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/740,582 | 12/19/2000 | Man C. Niu | 013402.00003 |

CONFIRMATION NO. 6349

FORMALITIES LETTER



OC000000005799317

Charles N. Quinn
Saul Ewing LLP
1500 Market Street
Centre Square West, 38th Floor
Philadelphia, PA 19102

Date Mailed: 02/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$405.
 - \$45 for 5 total claims over 20.
 - \$360 for 9 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 825.**

04/25/2001 BSAVAST1 00000046 501009 09740582

| | |
|-----------|-----------|
| 01 FC:201 | 355.00 CH |
| 02 FC:202 | 360.00 CH |
| 03 FC:203 | 45.00 CH |
| 04 FC:205 | 65.00 CH |

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Practitioner's Desk No. 13402.00004

Sector

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Nu, Man Chiang

Application No.: 09/740,582

Group No.: NYA

Filed: December 19, 2000

Examiner: NYA

For: METHODS FOR EFFECTUATING mRNA

TRANSFER OF GENETIC INFORMATION

BETWEEN SPECIES AND PRODUCT OF THE SAME

Box Missing Part

Assistant Commissioner for Patents

Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed February 26, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is copy of declaration or oath filed in the parent application.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐

transmitted by facsimile to the Patent and Trademark Office at (703) ____ - ____.

Date:

4-19-01

Signature

Sherry Barag
SHERRY BARAG
(type or print name of person certifying)

SMALL ENTITY STATUS

III. Applicant is a small entity. A Statement Claiming Small Entity Status was previously filed in the parent application.

COMPLETION FEES

IV.

1. Filing Fee

| | |
|--|----------|
| Original patent application (37 C.F.R. Section 1.16(a)) | \$355.00 |
|--|----------|

2. Fees for Claims

| | |
|--|----------|
| Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b)) | \$360.00 |
|--|----------|

| | |
|---|---------|
| Each claim in excess of 20 (37 C.F.R. Section 1.16(c)) | \$45.00 |
|---|---------|

3. Surcharge Fees

| | |
|--|---------|
| Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e)) | \$65.00 |
|--|---------|

| | |
|-----------------------|----------|
| Total Completion Fees | \$825.00 |
|-----------------------|----------|

EXTENSION OF TIME

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

VI. The total fee due is:

| | |
|------------------------|----------|
| Completion fees | \$825.00 |
| Extension fee (if any) | \$0.00 |
| Total Fee Due | \$825.00 |

PAYMENT OF FEES

VII. Please charge Deposit Account No. 50-1089 in the amount of 825.00.

Please charge Account No. 50-1089 for any additional fees that may be due by this paper.

Date: 4-19-01

A handwritten signature in black ink, appearing to read 'Charles N. Quinn', written over a horizontal line.

Charles N. Quinn
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